DFA Closure Properties

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Design a DFA

- Let $\Sigma = \{a, b\}$
- Let
 - $\mathsf{L} = \{\mathsf{w} \mid \mathsf{w} \text{ contains exactly two a's}\}$
- Then the complement of L is
 - $L^{c} = \{w \mid w \text{ doesn't contain exactly two a's}\}$
- Let's design DFAs to recognize L and L^c

Design a DFA



Design a DFA



Notice a pattern?



Closure of regular languages under complement

Proposition: Regular languages are closed under complement

- In other words, if L is a regular language, then we claim that L^c must also be regular
- What do we know about L?

L is regular...

- and thus it is recognized by a DFA
- ▶ What do we want to show for *L^c*?
 - ▶ That *L^c* is also regular...
 - ▶ i.e., there is also a DFA to recognize L^c

Closure of regular languages under complement

Technique: Go through every single regular language one by one, and show that its complement is also regular

Closure of regular languages under

To infinity...

CO

and beyond!

SPACE REVER LIGHTYEA

meme-arsenal ru

Closure of regular languages under complement

Technique: Use the formal definition of a DFA to construct the complement DFA

- Proof idea: Since L is regular, there must be a DFA D that recognizes L.
- We will use this to construct a DFA D^c that recognizes L^c.
- This is actually quite simple!
 - We simply start with D, and then we flip the accept and reject states.
- Now let's try to give an airtight proof!

Closure of regular languages under complement

- Suppose *L* is regular
- There is a DFA D = (Q, Σ, δ, q_s, F) that recognizes L
- We will construct a DFA D^c = (Q^c, Σ^c, δ^c, q^c_s, F^c) to recognize L^c
 Q^c = Q (same states)
 Σ^c = Σ (same alphabet)
 δ^c = δ (same transitions)
 q^c_s = q_s (same start state)
 F^c = Q\F (flip the accept/reject states)

- Let Σ be an alphabet, L ⊆ Σ* be a formal language
- Let w ∈ Σ* be a string. Then w^r is the reversal of w, i.e. all the characters of w backwards
- L^r = {w^r | w ∈ L} is the *reversal* of L, i.e. the backwards version of all the strings in L

Let $\Sigma = \{0, 1\}$, and let $L = \{w | w \text{ starts with } 01\}$. Which of the following strings are in L^r

A) 01

B) 1010

C) 0101

D) 1111110

Let $\Sigma = \{0, 1\}$, and let $L = \{w | w \text{ starts with } 01\}$. Which of the following strings are in L^r

A) 01

B) 1010 √

C) 0101

D) 1111110 √



Let $B = \{w \in \Sigma^* \mid \text{the top row} + \text{the middle row} = \text{the bottom row}\}$

Which of the following strings are in B?



Let $B = \{w \in \Sigma^* \mid \text{the top row} + \text{the middle row} = \text{the bottom row}\}$

Which of the following strings are in B?



B^r = {w^r | w ∈ B}
That is, B^r = {w ∈ Σ* | the top row reversed + the middle row reversed = the bottom row reversed}

$$\begin{bmatrix}
5 \\
1 \\
6
\end{bmatrix}
\begin{bmatrix}
2 \\
0 \\
2
\end{bmatrix}
\begin{bmatrix}
4 \\
3 \\
7
\end{bmatrix}
∈ Br: 425 + 301 = 726$$

$$\begin{bmatrix}
9 \\
1 \\
0
\end{bmatrix}
\begin{bmatrix}
1 \\
4 \\
5
\end{bmatrix}
\begin{bmatrix}
1 \\
0 \\
1
\end{bmatrix}
∉ Br: 119 + 041 ≠ 150$$

 $B^r = \{w \in \Sigma^* \mid \text{the top row reversed} + \text{the middle row reversed} = \text{the bottom row reversed}\}$

Which of the following strings are in B^r ?



 $B^r = \{w \in \Sigma^* \mid \text{the top row reversed} + \text{the middle row reversed} = \text{the bottom row reversed}\}$

Which of the following strings are in B^r ?



Let's prove B^r is a regular language

- What do we want to show?
 - Want to show there is a DFA D^r to recognize B^r
- Proof idea: construct at DFA that goes column by column, performs addition on that column
 - Use the states to keep track of the carry
 - ► If at any point top row + middle row + carry ≠ bottom row, we move to a reject state and loop
 - Otherwise if we reach the end of the input and carry = 0, we accept

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Proof: see board

Proposition: Regular languages are closed under reversal

- That is, if L is regular, then L^r is regular
- You will prove this on a future homework

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- ► Let *A*, *B* be regular languages
- The *perfect shuffle* of A and B is $L = \{w \mid w = a_1 b_1 a_2 b_2 \dots a_n b_n \text{ where} \\ a_1 a_2 \dots a_n \in A \text{ and } b_1 b_2 \dots b_n \in B\}$
- The odd characters form a string in A
- The even characters form a string in B

- ▶ Let A = {0,00,000,...} (i.e. all 0's, no 1's)
- Let $B = \{1, 11, 111, \dots\}$ (i.e. all 1's, no 0's)

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- ▶ $010101 \in \text{PERFECT-SHUFFLE}(A, B)$
 - ▶ 000 ∈ A
 - ▶ 111 ∈ *B*
- ▶ $010100 \notin \text{PERFECT-SHUFFLE}(A, B)$
 - ▶ 000 ∈ A
 - ▶ 110 ∉ B

Let $\Sigma = \{a, b\}$. Let $A = \{w | w \text{ has an even number of a's}\}$ Let $B = \{w | w \text{ ends with } b\}$ Which of the following strings are in PERFECT-SHUFFLE(A, B)?

A) aababaaa
B) babababb
C) baabbaabbb
D) aabab

Let $\Sigma = \{a, b\}$. Let $A = \{w | w \text{ has an even number of a's}\}$ Let $B = \{w | w \text{ ends with } b\}$ Which of the following strings are in PERFECT-SHUFFLE(A, B)?

A) aababaaa
B) babababb √
C) baabbaabbb √
D) aabab

Perfect shuffle closure

- Proposition: Regular languages are closed under the perfect shuffle operation
 - This means that if A and B are regular, then PERFECT-SHUFFLE(A, B) is regular
 - What do we know about A and B?
 - There exist DFAs D_A and D_B to recognize A and B, respectively
 - What do we want to show for PERFECT-SHUFFLE(A, B)?
 - There exists a DFA D that recognizes PERFECT-SHUFFLE(A, B)

Perfect shuffle

- Proposition: Regular languages are closed under the perfect shuffle operation
- Proof idea: Using D_A and D_B, we will construct a DFA runs the two machines and checks if A accepts the odd characters and B accepts the even characters
- Technique: Run two DFAs in alternation using Cartesian product, and an extra variable to keep track of turns

Perfect shuffle idea



Perfect shuffle closure

Let
$$D_{A} = (Q_{A}, \Sigma, q_{s_{A}}, \delta_{A}, F_{A})$$
 recognize A

Let $D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$ recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize PERFECT-SHUFFLE(A, B)

Perfect shuffle closure - states

Let
$$D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$$
 recognize A

Let
$$D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$$
 recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize PERFECT-SHUFFLE(A, B)

$$\blacktriangleright Q = Q_A \times Q_B \times \{A, B\}$$

Each state is a combination of 3 elements:

$$\blacktriangleright \quad \mathsf{A} \text{ state } q_{\mathsf{A}} \in \mathcal{Q}_{\mathsf{A}}$$

- A state $q_B \in Q_B$
- A variable A or B that keeps track of turns

Perfect shuffle closure - transition function

Let
$$D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$$
 recognize A

Let $D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$ recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize PERFECT-SHUFFLE(A, B)

- $\blacktriangleright \ \delta((q_A, q_B, A), \sigma) = (\delta_A(q_A, \sigma), q_B, B)$
 - When it's A's turn, we transition A's state, keep B's state the same, and switch to B's turn to read

$$\delta((q_A, q_B, B), \sigma) = (q_A, \delta_B(q_B, \sigma), A)$$

When it's B's turn, we transition B's state, keep A's state the same, and switch to A's turn to read

Perfect shuffle closure - start state

Let
$$D_{\mathcal{A}} = (\mathcal{Q}_{\mathcal{A}}, \Sigma, q_{s_{\mathcal{A}}}, \delta_{\mathcal{A}}, \mathcal{F}_{\mathcal{A}})$$
 recognize A

Let
$$D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$$
 recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize PERFECT-SHUFFLE(A, B)

$$\blacktriangleright q_s = (q_{s_A}, q_{s_B}, A)$$

- We start out in A's start state
- We start out in B's start state
- Initially, it's A's turn to read

Perfect shuffle closure - accept states

Let
$$D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$$
 recognize A

Let
$$D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$$
 recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize PERFECT-SHUFFLE(A, B)

$$\blacktriangleright F = F_A \times F_B \times \{A\}$$

- A's state should be one of its accept states.
- B's state should also be one of its accept states
- At the end it should be A's turn to read.

DFA for $A = \{w | w \text{ has an even number of a's} \}$



DFA for $B = \{w | w \text{ ends with } b\}$



Perfect shuffle example DFA for PERFECT-SHUFFLE(A, B) A's turn to read



Regular operations

Union: $A \cup B = \{w | w \in A \text{ or } w \in B\}$ Concatenation: $A \circ B = \{w = w_1 w_2 | w_1 \in A, w_2 \in B\}$ w can be split into two substrings; the first substring is in A, the second substring is in B (Kleene) Star: $\hat{A}^* = \{\epsilon\} \cup \{w = w_1 w_2 \dots w_n | w_i \in A\}$ • w can be split into n substrings; each substring is in A 0 or more "copies" of A Note that A^* always includes empty string ϵ

Union Operation

Let $\Sigma = \{a, b\}$. Let $A = \{w | w \text{ has an even number of a's}\}$ Let $B = \{w | w \text{ ends with b}\}$ Which of the following strings are in $A \cup B$?

A) aaaaaa

B) baaaab

C) ab

D) aabaaba

Union Operation

Let $\Sigma = \{a, b\}$. Let $A = \{w | w \text{ has an even number of a's}\}$ Let $B = \{w | w \text{ ends with b}\}$ Which of the following strings are in $A \cup B$?

A) aaaaaa √

B) baaaab \checkmark

C) ab √

D) aabaaba

Let $\Sigma = \{a, b\}$. Let $A = \{w | w \text{ has an even number of a's}\}$ Let $B = \{w | w \text{ ends with b}\}$ Which of the following strings are in $A \circ B$?

A) aaab

B) aabaa

C) bba

D) bbbaaaa

Let $\Sigma = \{a, b\}$. Let $A = \{w | w \text{ has an even number of a's}\}$ Let $B = \{w | w \text{ ends with b}\}$ Which of the following strings are in $A \circ B$?

A) aa|ab √

B) aabaa

C) bba

D) bbbaaaa

Let $\Sigma = \{a, b\}$. Let $A = \{w | w \text{ has an even number of a's}\}$ Let $B = \{w | w \text{ ends with b}\}$ Which of the following strings are in $B \circ A$?

A) aaab

B) aabaa

C) bba

D) bbbaaaa

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Let $\Sigma = \{a, b\}$. Let $A = \{w | w \text{ has an even number of a's}\}$ Let $B = \{w | w \text{ ends with b}\}$ Which of the following strings are in $B \circ A$?

A) aaab| √

B) aab|aa \checkmark

C) bba

D) bbb|aaaa√

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Let
$$\Sigma = \{a, b\}$$
.
Let $A = \{w | w \text{ has an even number of a's}\}$
Let $B = \{w | w \text{ ends with b}\}$
Which of the following strings are in A^* ?

Α) ε

B) aaaababab

D) bbaE) bbbaaaa

C) aabaaa

Let
$$\Sigma = \{a, b\}$$
.
Let $A = \{w | w \text{ has an even number of a's}\}$
Let $B = \{w | w \text{ ends with b}\}$
Which of the following strings are in A^* ?

A) $\epsilon \checkmark$ B) aaaa|babab| \checkmark C) aabaaa

Let
$$\Sigma = \{a, b\}$$
.
Let $A = \{w | w \text{ has an even number of a's}\}$
Let $B = \{w | w \text{ ends with b}\}$
Which of the following strings are in B^* ?

Α) ε

B) aaaababab

D) bba E) bbbaaaa

C) aabaaa

Let
$$\Sigma = \{a, b\}$$
.
Let $A = \{w | w \text{ has an even number of a's}\}$
Let $B = \{w | w \text{ ends with b}\}$
Which of the following strings are in B^* ?

A) € √
B) aaaab|ab|ab| √
C) aabaaa

Closure of regular languages under union

Proposition: Regular languages are closed under union

- This means that if L_1 and L_2 are regular, then $L_1 \cup L_2$ is regular
- What do we know about L_1 and L_2 ?
 - There exist DFAs D₁, D₂ that recognizes L₁ and L₂, respectively
- What do we want to show for $L_1 \cup L_2$?
 - Want to show that there is a DFA D₃ that recognizes L₁ ∪ L₂

Closure of regular languages under union

- Proof idea: Using D₁ and D₂, we will construct a DFA that runs both machines simultaneously and accepts if either machine accepts.
- Technique: Run two DFAs in parallel using the cartesian product construction

Union idea



Union idea



Union closure

Let
$$D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$$
 recognize A

Let
$$D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$$
 recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize $A \cup B$

$$Q = Q_A \times Q_B$$

$$\delta((q_A, q_B), \sigma) = (\delta_A(q_A, \sigma), \delta_B(q_B, \sigma))$$

$$q_s = (q_{s_A}, q_{s_B})$$

$$F = \{(q_A, q_B) \in Q | q_A \in F_A \text{ or } q_B \in F_B\}$$

Union closure - states

Let
$$D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$$
 recognize A

Let
$$D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$$
 recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize $A \cup B$

$$\blacktriangleright \ Q = Q_A \times Q_B$$

Each state is a combination of 2 elements:

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$$\blacktriangleright \ \mathsf{A} \ \mathsf{state} \ q_{\mathsf{A}} \in \mathit{Q}_{\mathsf{A}}$$

• A state $q_B \in Q_B$

Union closure - transition function

Let
$$D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$$
 recognize A

Let
$$D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$$
 recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize $A \cup B$

$$\delta((q_A, q_B), \sigma) = (\delta_A(q_A, \sigma), \delta_B(q_B, \sigma))$$

- We transition A to its next state
- We simultaneously transition B to its next state

Union closure - start state

Let
$$D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$$
 recognize A

Let
$$D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$$
 recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize $A \cup B$

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$$\blacktriangleright q_s = (q_{s_A}, q_{s_B})$$

- We start out in A's start state
- We start out in B's start state

Union closure Let $D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$ recognize A Let $D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$ recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize $A \cup B$

$$\blacktriangleright F = \{(q_A, q_B) \in Q | q_A \in F_A \text{ or } q_B \in F_B\}$$

- Either A's state should be one of its accept states...
- ... or B's state should be one of its accept states

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(or perhaps both!)

Union example DFA for $A = \{w | w \text{ has an even number of a's} \}$



Union example DFA for $B = \{w | w \text{ ends with } b\}$



Union example



Closure of regular languages under intersection

► $L_1 \cap L_2 = \{w | w \in L_1, w \in L_2\}$

Q: How do we prove closure under intersection?

- A: show that if L_1 and L_2 are regular, then $L_1 \cap L_2$ is regular
- Q: What technique do we use to do this?
 - **Technique 1:** Run the two machines in parallel using the Cartesian product construction
 - Technique 2: Express intersection in terms of other operations that we know regular languages are closed under

Intersection closure

Let
$$D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$$
 recognize A

Let $D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$ recognize B

The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize $A \cap B$

$$Q = Q_A \times Q_B$$

$$\delta((q_A, q_B), \sigma) = (\delta_A(q_A, \sigma), \delta_B(q_B, \sigma))$$

$$q_s = (q_{s_A}, q_{s_B})$$

$$F = F_A \times F_B$$

Need an accept state for A and for B

Intersection closure

- Technique: Express intersection in terms of other operations that we know regular languages are closed under
- $L_1 \cap L_2 = (L_1^c \cup L_2^c)^c$ (De Morgan's laws)
- Regular languages are closed under complement, so L^c₁ and L^c₂ are both regular
- ▶ Regular languages are closed under union, so (L^c₁ ∪ L^c₂) is regular
- ▶ Regular languages are closed under complement, so (L^c₁ ∪ L^c₂)^c is regular
- ▶ Thus, $L_1 \cap L_2$ is regular!

Closure under concatenation

- Let D_1 and D_2 recognize L_1 and L_2
- We need to combine them into a machine that recognizes L₁ L₂
- We can't run the machines in parallel
- We need to run them in sequence one after the other

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Closure under concatenation

- Let D_1 and D_2 recognize L_1 and L_2
- We need to combine them into a machine that recognizes L₁ L₂
- We can't run the machines in parallel
- We need to run them in sequence one after the other

Technique: nondeterminism!