

# Theory of Computation//The Halting Problem

Arjun Chandrasekhar

# Unsolvable Problems

- ▶ Can we train the java compiler to detect your infinite loops *before you run your code*?
- ▶ Can we create the perfect virus detection software?
- ▶ Can we get computers to tell us which mathematical conjectures are true/false?

# Programs taking other programs as input

- ▶ Can pass one program description as input to another program
- ▶ Example: Let `even.java` be a program that takes an a string  $w$  as a command line argument
  - ▶ If  $w$  has even length, output "ACCEPT"
  - ▶ Otherwise, output "REJECT"
- ▶ We could pass the source code of `even.java` as the input to `even.java`
  - ▶ Pass the source code as one long string
  - ▶ What will this do?
  - ▶ This would check if `even.java` contains an even number of characters in its source code

# Programs taking other programs as input

- ▶ Let `strange.java` be a program that takes the name of a java source code file `program.java` as input and does the following:
  1. Make one long string out of the source code of `program.java`
  2. Pass this string to `even.java`
  3. If `even.java` outputs ACCEPT, `strange.java` outputs REJECT
  4. If `even.java` outputs REJECT, `strange.java` outputs ACCEPT

What happens if we pass `strange.java` as the input to `strange.java`?

# Programs taking other programs as input

What happens if we pass `strange.java` as the input to `strange.java`?

1. Create a string `s` out of the source code of `strange.java`
2. Pass `s` as the argument to `even.java`
3. If `even.java` outputs ACCEPT, `strange.java` outputs REJECT
4. If `even.java` outputs REJECT, `strange.java` outputs ACCEPT

`strange.java` checks if its own source code has an even length

# Undecidable Languages

- ▶ We are now ready to show that certain languages are undecidable
- ▶ No computer program will EVER solve these problems
- ▶ We will make use of diagonalization, as well as machines that take other machines as input
  - ▶ “If we could recognize this language, we could construct a machine that contradicts every machine in the world - including itself”

# The Halting Problem

Raise your hand if you have ever written an infinite loop

- ▶ Wouldn't it be nice if the compiler could detect these ahead of time?

# The Halting Problem





# The Halting Problem

Raise your hand if you have ever written an infinite loop

- ▶ Wouldn't it be nice if the compiler could detect these ahead of time?

**Theorem:** It is impossible to write a compiler that can detect infinite loops with 100% accuracy

# The Halting Problem - Proof Idea

**Theorem:** It is impossible to write a compiler that can detect infinite loops with 100% accuracy

- ▶ **Proof idea:** if we could do this, we could write a program that literally contradicts itself
- ▶ We will write a program that runs this compiler on itself and then does the opposite of what it is “supposed” to do
- ▶ Our program will “fool” the compiler, thus proving the compiler doesn’t actually perform as advertised

# The Halting Problem - Starting Assumption

Assume for sake of contradiction we have a program called `halt.java`

- ▶ `halt.java` takes two command line arguments: `program.java` and `w`
- ▶ `halt.java` prints ACCEPT if `program.java` halts on input `w`
- ▶ `halt.java` prints REJECT if `program.java` goes into an infinite loop on input `w`

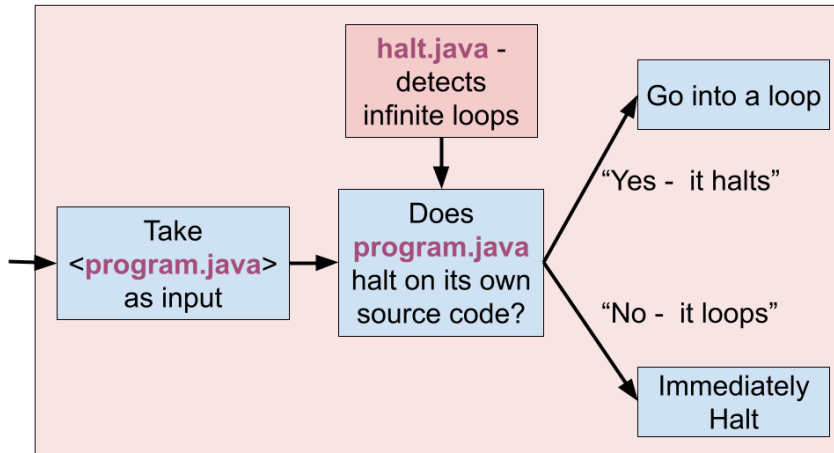
# The Halting Problem - Strange Program

Let's create a program called `strange.java`

1. `strange.java` takes one command line argument:  
`program.java`
2. `strange.java` creates a string  $w$  out of the source code of `program.java`
3. `strange.java` runs `halt.java` and passes  $\langle \text{program.java}, w \rangle$  as command line arguments
4. If `halt.java` prints ACCEPT then `strange.java` goes into an infinite loop
5. If `halt.java` prints REJECT then `strange.java` immediately halts

# The Halting Problem - Strange Program

## Strange.java



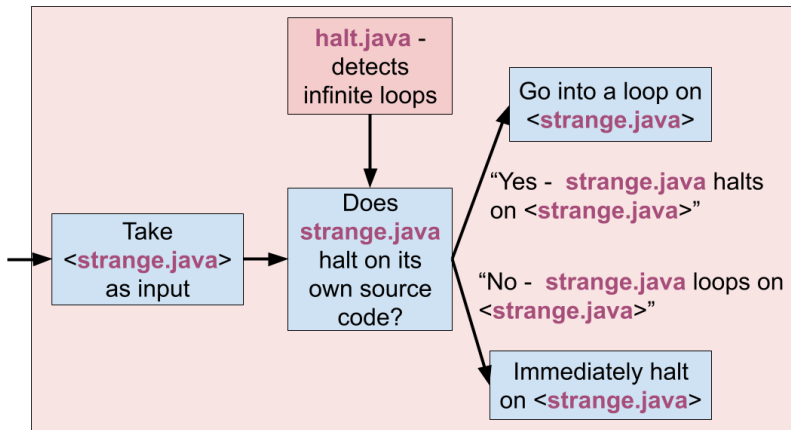
# The Halting Program - Counterexample

What does `strange.java` do if it receives its own source code `strange.java` as input?

1. `strange.java` creates a string  $w$  out of the source code of `strange.java`
2. `strange.java` passes  $\langle \textit{strange.java}, w \rangle$  to `halt.java`
3. If `halt.java` prints ACCEPT, `strange.java` goes into an infinite loop
4. If `halt.java` prints REJECT, `strange.java` halts

# The Halting Program - Counterexample

Let's feed **Strange.java** its own source code



# The Halting Problem - Contradiction

- ▶ If `halt.java` says `strange.java` will halt on its own source code, `strange.java` goes into an infinite loop
- ▶ If `halt.java` says `strange.java` will loop on its own source code, `strange.java` will immediately halt
- ▶ **THIS IS A CONTRADICTION!!!**
- ▶ We conclude that `halt.java` is not detecting infinite loops correctly.



# The Halting Problem - Follow Up

## Some notes:

- ▶ The point of this argument is not that we *want* to write `strange.java`
- ▶ The point is that it shouldn't even be *possible* to write a program like `strange.java`
- ▶ It's only possible to create `strange.java` if we assume that `halt.java` exists
- ▶ We conclude that `halt.java` doesn't exist, because paradoxical programs don't exist

# HALT is Undecidable

Let's prove the same theorem using Turing machines

$$\text{HALT} = \{\langle M, w \rangle \mid M \text{ halts on } w\}$$

- ▶ We receive two input arguments
  - ▶ The source code/description of machine  $M$
  - ▶ Some string  $w$
- ▶ We want to design a machine that can check if  $M$  will halt on  $w$

**Theorem:** HALT is undecidable

# HALT is Undecidable - Proof Idea

**Proof idea:** construct a machine that is self-contradictory

- ▶ AFSOC  $H$  is a machine that decides HALT
- ▶ We will construct a machine  $S$  that asks  $H$  what it is supposed to do and does the opposite
- ▶ By assuming that  $H$  exists, we can create a machine  $S$  that should not exist

# Turing Machine Descriptions

- ▶ Let  $M$  be a Turing Machine
- ▶  $\langle M \rangle$  is a string that refers to the *description* of  $M$
- ▶ Think of  $\langle M \rangle$  as a source code file and  $M$  as an actual executable that can be run

# HALT is Undecidable - Initial Assumption

AFSOC  $H$  decides HALT

- ▶  $H$  takes  $\langle M, w \rangle$  as input
- ▶  $H$  accepts if  $M$  halts on  $w$
- ▶  $H$  rejects if  $M$  loops on  $w$

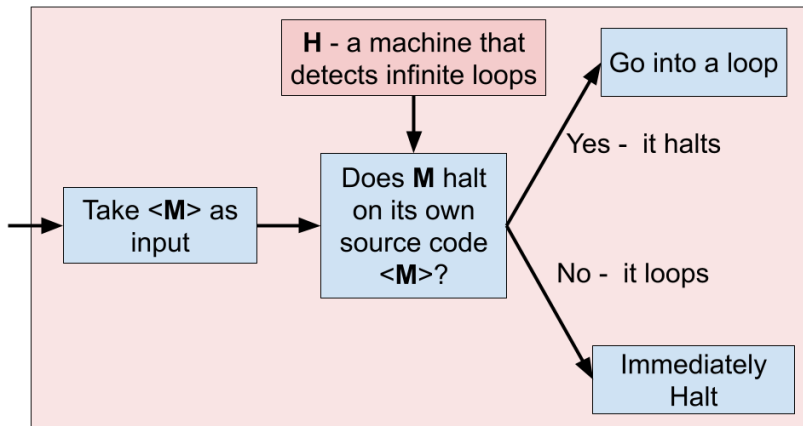
# HALT is Undecidable - Strange Machine

Construct a machine  $S$  that does the following:

1.  $S$  takes a machine description  $\langle M \rangle$
2. Run  $H$  on  $\langle M, \langle M \rangle \rangle$ 
  - ▶ “Does  $M$  halt if it gets its own source code as input?”
3.  $S$  then “does the opposite” of what  $H$  says
  - 3.1 If  $H$  accepts  $\langle M, \langle M \rangle \rangle$ ,  $S$  goes into a loop
  - 3.2 If  $H$  rejects  $\langle M, \langle M \rangle \rangle$ , then  $S$  immediately halts

# HALT is Undecidable - Strange Machine

## Machine S



# HALT is Undecidable - Contradiction

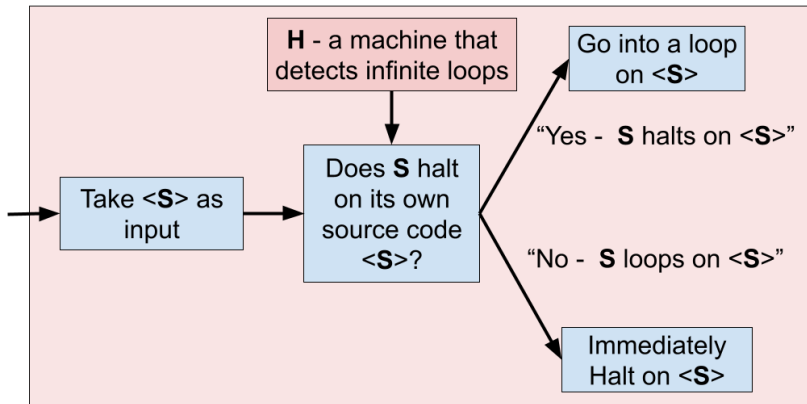
What happens if  $S$  receives  $\langle S \rangle$  as input?

1.  $S$  runs  $H$  on  $\langle S, \langle S \rangle \rangle$
2. If  $H$  accepts  $\langle S, \langle S \rangle \rangle$ ,  $S$  loops on  $\langle S \rangle$
3. If  $H$  rejects  $\langle S, \langle S \rangle \rangle$ ,  $S$  halts and accepts  $\langle S \rangle$



# HALT is Undecidable - Contradiction

Let's feed **S** its own source code



# HALT is Undecidable - Contradiction

What happens if  $S$  receives  $\langle S \rangle$  as input?

1.  $S$  runs  $H$  on  $\langle S, \langle S \rangle \rangle$
2. If  $H$  accepts  $\langle S, \langle S \rangle \rangle$ ,  $S$  loops on  $\langle S \rangle$ 
  - ▶ If  $S$  is supposed to halt on its own description, it loops!
3. If  $H$  rejects  $\langle S, \langle S \rangle \rangle$ ,  $S$  halts and accepts  $\langle S \rangle$ 
  - ▶ If  $S$  is supposed to loop on its own description, it halts!

There is no way that  $H$  is actually deciding HALT correctly!

# Diagonalizing HALT

- ▶ We can interpret the preceding proof as a form of diagonalization
- ▶ We assumed that we could determine what every program does on every possible input
- ▶ We constructed a machine  $S$  that contradicted every program in the universe
  - ▶ But this means that  $S$  contradicts itself
- ▶ Thus we reject our original assumption

# Diagonalizing HALT

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	...	$\langle S \rangle$
$M_1$	HALT	LOOP	LOOP	...	HALT
$M_2$	LOOP	LOOP	LOOP	...	HALT
$M_3$	HALT	LOOP	HALT	...	LOOP
...	...	...	...	...	...
$S$	LOOP	HALT	LOOP	...	???

**Box (i, j):**  
“Does machine  $M_i$  halt or loop on source code  $\langle M_j \rangle$ ”?

The existence of **H**, a decider for **HALT**, allows us to fill in this table (and then construct the paradoxical machine  $S$ )

Construct **S** by taking the “opposite” of the **diagonals** until we reach a **contradiction**

# HALT is Recognizable

HALT is not decidable. Is it at least recognizable?

$$\text{HALT} = \{\langle M, w \rangle \mid M \text{ halts on } w\}$$

Let's design a machine  $H$  to recognize HALT

- ▶ If  $M$  halts on  $w$  then  $H$  needs to accept  $\langle M, w \rangle$
- ▶ If  $M$  loops on  $w$  then  $H$  should reject  
or possibly loop on  $\langle M, w \rangle$

# HALT is Recognizable

Let's design a machine  $H$  to recognize

$$\text{HALT} = \{ \langle M, w \rangle \mid M \text{ halts on } w \}$$

$H$  does the following on input  $\langle M, w \rangle$ :

1. Run  $M$  on  $w$ 
  - 1.1 If  $M$  ever halts, then accept  $\langle M, w \rangle$
  - 1.2 If  $M$  loops forever then  $H$  will loop forever
- ▶ If  $M$  does indeed halt on  $w$  then eventually  $H$  will accept  $\langle M, w \rangle$
- ▶ If  $M$  loops forever on  $w$ ,  $H$  will do the same, so it will not accept  $\langle M, w \rangle$  (which is sufficient)

# co-Recognizable Languages

A language  $L$  is **co-Turing Recognizable** if it's complement  $\bar{L}$  is recognizable

- ▶ We can construct a machine  $\bar{M}$  that recognizes  $\bar{L}$
- ▶ If  $w \in \bar{L}$  (i.e.  $w \notin L$ ) then  $\bar{M}$  will halt and accept
- ▶ If  $w \notin \bar{L}$  (i.e.  $w \in L$ ) then  $\bar{M}$  will reject or loop forever

# co-Recognizable Languages

A language  $L$  is **co-Turing Recognizable** if it's complement  $\bar{L}$  is recognizable

- ▶ We sometimes say  $L$  is **co-recognizable**
- ▶ We can also say  $L$  is **co-Recursively Enumerable** or **co-RE**
- ▶ **Note:** In prior lectures we used  $L^c$  to denote the complement. For these topics, the convention is to use  $\bar{L}$  to denote the complement



# co-Recognizable Languages

**Theorem:** A language is decidable if and only if  $L$  is both recognizable and co-recognizable

1. ( $\Rightarrow$ ) If a language is decidable it is both recognizable and co-recognizable
2. ( $\Leftarrow$ ) If a language is both recognizable and co-recognizable, it is decidable

# co-Recognizable Languages

( $\Rightarrow$ ) If  $L$  is decidable then it is recognizable

- ▶ Let  $M$  be the machine that decides  $L$
- ▶ Then  $M$  also recognizes  $L$ !
  - ▶  $M$  always halts
  - ▶ If  $w \in L$  then  $M$  will halt and accept
  - ▶ If  $w \notin L$ ,  $M$  will not accept (in fact, it will halt and reject)

# co-Recognizable Languages

( $\Rightarrow$ ) If  $L$  is decidable then it is co-recognizable

- ▶ Let  $M$  be the machine that decides  $L$
- ▶ To recognize  $\bar{L}$  we create a machine  $\bar{M}$  that runs  $M$  and does the opposite
  - ▶  $M$  always halts, so  $\bar{M}$  always halts
  - ▶ If  $w \in \bar{L}$  then  $M$  will halt and reject, so  $\bar{M}$  will halt and accept
  - ▶ If  $w \notin \bar{L}$ , then  $w \in L$ . So  $M$  will halt and accept, and  $\bar{M}$  will halt and reject

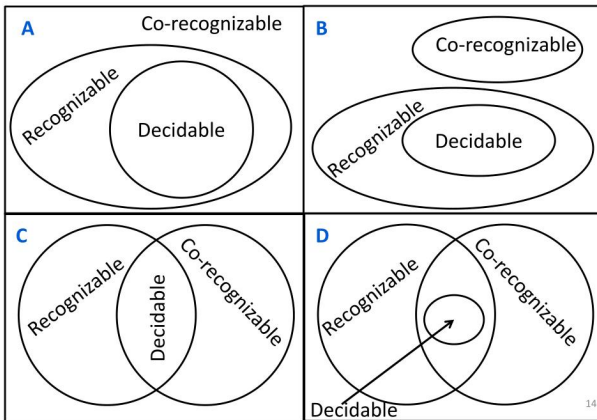
# co-Recognizable Languages

( $\Leftarrow$ ) If  $L$  is both recognizable and co-recognizable, then  $L$  is decidable

- ▶ Let  $M$  recognize  $L$  and  $\overline{M}$  recognize  $\overline{L}$
- ▶ Construct a machine  $D$  to decide  $L$
- ▶  $D$  does the following on input  $w$ 
  1. Run  $M$  and  $\overline{M}$  in parallel
  2. If  $M$  accepts, accept
  3. If  $\overline{M}$  accepts, reject
- ▶ Exactly one of the two machines has to eventually accept, so  $D$  always halts

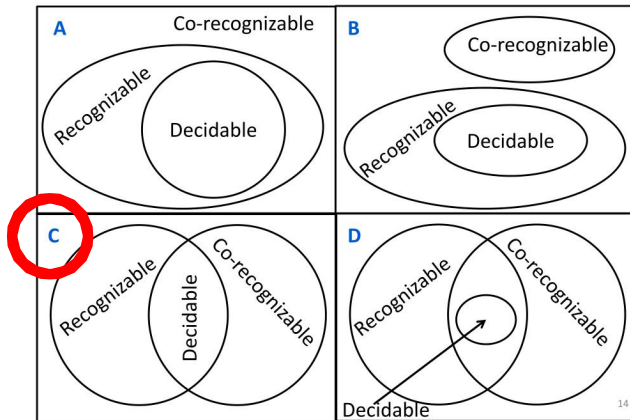
# Decidable vs. (co-)Recognizable Languages

So, what does the Venn Diagram look like?



# Decidable vs. (co-)Recognizable Languages

So, what does the Venn Diagram look like?



# The language $\overline{\text{HALT}}$

Consider the following language

$$\overline{\text{HALT}} = \{\langle M, w \rangle \mid M \text{ loops on } w\}$$

- ▶ Pronounced “co-HALT”
- ▶ If  $M$  loops on  $w$  we accept  $\langle M, w \rangle$
- ▶ If  $M$  halts on  $w$  we reject  $\langle M, w \rangle$
- ▶  $\overline{\text{HALT}}$  is co-recognizable because its complement  $\text{HALT}$  is recognizable

# Unrecognizability of $\overline{\text{HALT}}$

**Theorem:**  $\overline{\text{HALT}}$  is not Turing-recognizable

- ▶ AFSOC  $\overline{\text{HALT}}$  is recognizable
- ▶ Then  $\text{HALT}$  is co-recognizable
- ▶ We know that  $\text{HALT}$  is also recognizable
- ▶ Then  $\text{HALT}$  would be decidable, which is a contradiction!
- ▶ We conclude that  $\overline{\text{HALT}}$  is unrecognizable